

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jes Asmussen, Wen Shin Huang

For : PROCESS FOR SYNTHESIZING UNIFORM
NANOCRYSTALLINE FILMS



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Sir:

**INFORMATION STATEMENT UNDER
RULE 1.97 and 1.98**

Provided herewith are copies of patents and publications as listed in the attached form PTO-1449. A concise explanation of the relevance of each reference listed in form PTO-1449 is contained in the above-captioned specification as filed.

Applicant respectfully asserts that the substantive provisions of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.

Respectfully,

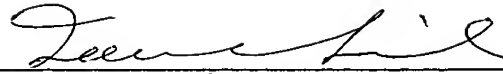


Jes Asmussen

Date: 2/8/02

Wen Shin Huang

Date: _____



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Date: Feb 11, 2002

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	Jes Asmussen
		Group Art Unit	
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	MSU 4.1-572

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A1	Gruen et al., J. Appl. Phys. 84 1981 (1998)	
	A2	Kuo, R. P., et al., Diamond Relat. Mater. 6 1907 (1992)	
	A3	Prasad and Bernathu, Astrophys. J., vol. 426, 812 (1994)	
	A4	Goyette et al., J. Phys. D.: Appl. Phys. vol. 31, 1975 (1998)	
	A5	Kleindienst, I. R., Master Thesis at Michigan State University, East Lansing, MI (1999)	
	A6	J. Zhang, Experimental Development of Microwave Cavity Plasma Reactors for Large Area at High Ratio Diamond Film Deposition, Ph.D. Thesis (1998)	

Examiner Signature		Date Considered	
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